

## Electronic Acknowledgement Receipt

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| <b>EFS ID:</b>                              | 8727375  |
| <b>Application Number:</b>                  | 10694872   |
| <b>International Application Number:</b>    |  |
| <b>Confirmation Number:</b>                 | 4103   |
| <b>Title of Invention:</b>                  | managing the execution of trades between market makers |
| <b>First Named Inventor/Applicant Name:</b> | Nigel J. Renton  |
| <b>Customer Number:</b>                     | 63710  |
| <b>Filer:</b>                               | David E. Boundy  |
| <b>Filer Authorized By:</b>                 |  |
| <b>Attorney Docket Number:</b>              | 03-6171  |
| <b>Receipt Date:</b>                        | 28-OCT-2010  |
| <b>Filing Date:</b>                         | 28-OCT-2003  |
| <b>Time Stamp:</b>                          | 19:21:32   |
| <b>Application Type:</b>                    | Utility under 35 USC 111(a)                            |

### Payment information:

|  |                 |
|--|-----------------|
| Submitted with Payment                   | yes             |
| Payment Type                             | Deposit Account |
| Payment was successfully received in RAM | \$2350          |
| RAM confirmation Number                  | 7786            |
| Deposit Account                          | 503938          |
| Authorized User                          |                 |

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

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**File Listing:**

| Document Number | Document Description                                  | File Name                                     | File Size(Bytes)/ Message Digest                | Multi Part /.zip | Pages (if appl.) |
|-----------------|---|---|---|------------------|------------------|
| 1               | Amendment/Reg. Reconsideration-After Non-Final Reject | 03-6171_101028_Reply_to_NN CA_of_Apr_2010.pdf | 66373<br>F019454c04418f881205aef1efcd0317f d2a9 | no               | 7                |

**Warnings:****Information:**

|   |                         |              |  |    |   |
|---|-------------------------|--------------|--|----|---|
| 2 | Fee Worksheet (PTO-875) | fee-info.pdf | 30154<br>0850d40f380a8e0a0f15c2d8b7f1e33c70c d82aa | no | 2 |
|---|-------------------------|--------------|--|----|---|

**Warnings:****Information:**

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| <b>Total Files Size (in bytes):</b> | 96527 |
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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.